

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NO. NL02 0327 US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/510591
INTERNATIONAL APPLICATION NO. PCT/IB03/01421	INTERNATIONAL FILING DATE 08 April 2003	PRIORITY DATE CLAIMED 11 April 2002

TITLE OF INVENTION

Semiconductor device and method of manufacturing same

APPLICANT(S) FOR DO/EO/US

Koninklijke Philips Electronics N.V.

Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2))
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND OR SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - ☒ Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/90]
 - ☒ Statement under 37 CFR 3.73(b) [PTO/SB/96]
 - ☒ Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account 14-1270.

4 Sheet(s) of Drawings

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to "Mail Stop PCT, Commissioner for Patents, PO Box 1450, Arlington, VA 22313," on the date indicated below.

(Date) 10/8/04

(Signature)

Daniel L. Michalek

Best Available Copy

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53) 107510591	INTERNATIONAL FILING NO. PCT/IB03/01421	ATTORNEY'S DOCKET NUMBER NL02 0327 US
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17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):**

Search Report has been prepared by the EPO or JPO\$860.00
 International preliminary-examination fee paid to USPTO (37 CFR 1.482).....\$690.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$750.00
 Neither international preliminary examination fee (37 C.F.R. 1.482) nor
 international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$970.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =..... 970.00

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$0.00

CLAIMS	NUMBER FILED	# EXTRA	RATE
Total Claims	12 - 20 =	0	x \$ 18.00
Independent claims	2 - 3 =	0	x \$ 84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		0	+ \$280.00

\$0.00

\$0.00

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$970.00

Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity
 Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$0.00

SUBTOTAL =

\$970.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).

\$0.00

TOTAL NATIONAL FEE =

\$970.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +

\$40.00

TOTAL FEES ENCLOSED =

\$1010.00

Amount to be
 Refunded

\$

Charged

\$

☒ Please charge my **Deposit Account No. 14-1270 (Customer No. 24737)** in the amount of **\$1010.00** to cover the
 above fees. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which
 may be required, or credit any overpayment to **Deposit Account No. 14-1270**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to
 revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending
 status.

SEND ALL CORRESPONDENCE TO:

PHILIPS ELECTRONICS NORTH AMERICA CORPORATION
 Intellectual Property & Standards
 1109 McKay Drive, M/S41-SJ
 San Jose, California 95131

Peter Zawilski
 Peter Zawilski, Reg. No. 43,305
 Tel.: (408) 474-9063